

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁵ : C12P 7/64, C11C 3/08	A1	(11) International Publication Number: WO 91/00918 (43) International Publication Date: 24 January 1991 (24.01.91)
(21) International Application Number: PCT/SE90/00481 (22) International Filing Date: 4 July 1990 (04.07.90) (30) Priority data: PCT/SE89/00409 12 July 1989 (12.07.89) WO (34) Countries for which the regional or international application was filed: AT et al. (71) Applicant (for all designated States except US): BEROL NOBEL AB [SE/SE]; S-444 85 Stenungsund (SE). (72) Inventors; and (75) Inventors/Applicants (for US only) : EKSTRAND, Bo [SE/SE]; PI 6660, Fåglavik, S-524 00 Herrljunga (SE). ERIKSSON, Caj [SE/SE]; Basunvägen 11, S-435 41 Mölnlycke (SE). HOLMBERG, Krister [SE/SE]; Dalgångsgatan 17, S-431 39 Mölndal (SE). ÖSTERBERG, Eva [SE/SE]; Storskiftegatan 67, S-442 53 Kungälv (SE).		(74) Agent: ANDERSSON, Rolf; Berol Nobel Stenungsund AB, S-444 85 Stenungsund (SE). (81) Designated States: AT (European patent), BE (European patent), CA, CH (European patent), DE (European patent)*, DK (European patent), ES (European patent), FR (European patent), GB (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent), US. Published <i>With international search report.</i> <i>In English translation (filed in Swedish).</i>
(54) Title: A METHOD FOR THE PREPARATION OF A PHOSPHOLIPID WITH A CARBOXYLIC ACID RESIDUE IN THE 2-POSITION AND A PHOSPHOLIPID WITH AN ω -3-FATTY ACID RESIDUE IN THE 2-POSITION (57) Abstract <p>The present invention relates to phospholipids with a desired carboxylic acid residue, such as an ω-3-fatty acid residue, in the 2-position. These compounds are produced by esterifying a conventional lysophospholipid with the corresponding carboxylic acid in the presence of the catalyst phospholipase A2, the esterification taking place in a microemulsion with a water content not exceeding 0.1-2 % by weight.</p>		

DESIGNATIONS OF "DE"

Until further notice, any designation of "DE" in any international application whose international filing date is prior to October 3, 1990, shall have effect in the territory of the Federal Republic of Germany with the exception of the territory of the former German Democratic Republic.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	ES	Spain	MC	Monaco
AU	Australia	FI	Finland	MG	Madagascar
BB	Barbados	FR	France	ML	Mali
BE	Belgium	GA	Gabon	MR	Mauritania
BF	Burkina Fasso	GB	United Kingdom	MW	Malawi
BG	Bulgaria	GR	Greece	NL	Netherlands
BJ	Benin	HU	Hungary	NO	Norway
BR	Brazil	IT	Italy	PL	Poland
CA	Canada	JP	Japan	RO	Romania
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo			SE	Sweden
CH	Switzerland	KR	Republic of Korea	SN	Senegal
CM	Cameroon	LI	Liechtenstein	SU	Soviet Union
DE	Germany	LK	Sri Lanka	TD	Chad
DK	Denmark	LU	Luxembourg	TC	Togo
				US	United States of America

would lead to the same type of qualitative changes as for the triglycerides.

Recently, medical interest has focussed on the ω -3-fatty acids which is the generic term for polyunsaturated fatty acids which have 18-22 carbon atoms and whose last double bond, as counted from the carboxyl group, is between the third and the fourth carbon atom as counted from the methyl group end of the fatty acid molecule. A connection has been shown between a high intake of ω -3-fatty acids and a reduced frequency of heart and vascular diseases. An augmented intake of ω -3-fatty acids reduces the cholesterol content of the blood, and ω -3-fatty acids are therefore often prescribed for people with blood counts indicating an increased risk of thrombosis and infarct of the heart. The ω -3-fatty acids are normally available not only in the form of triglycerides from e.g. cod-liver oil, but also in the form of free fatty acids usually extracted from fish oils. In the human body, the triglycerides are metabolised, and part of the fatty acids are incorporated in the cell membranes of the body, a main component of these membranes being phospholipids. However, this incorporation is a slow process and only a minor amount of the added ω -3-fatty acids is incorporated in the membranes, regardless of whether they originally had the form of triglycerides or free fatty acids. Therefore, there is a great need for products which contain ω -3-fatty acids and can be taken up by the body in a more efficient manner.

With the aid of a specific enzyme, phospholipase A2, it has now proved to be feasible to esterify the 2-position of a lysophospholipid by adding a carboxylic acid. Normally, phospholipase A2 hydrolyses the ester bond of the phospholipid in the 2-position, but under the conditions prevalent during the inventive esterification, the enzyme esterifies a lysophospholipid in the 2-position, surprisingly enough. This reaction takes place in a micro-emulsion. Since the phospholipid is surface-active in

would lead to the same type of qualitative changes as for the triglycerides.

Recently, medical interest has focussed on the ω -3-fatty acids which is the generic term for polyunsaturated fatty acids which have 18-22 carbon atoms and whose last double bond, as counted from the carboxyl group, is between the third and the fourth carbon atom as counted from the methyl group end of the fatty acid molecule. A connection has been shown between a high intake of ω -3-fatty acids and a reduced frequency of heart and vascular diseases. An augmented intake of ω -3-fatty acids reduces the cholesterol content of the blood, and ω -3-fatty acids are therefore often prescribed for people with blood counts indicating an increased risk of thrombosis and infarct of the heart. The ω -3-fatty acids are normally available not only in the form of triglycerides from e.g. cod-liver oil, but also in the form of free fatty acids usually extracted from fish oils. In the human body, the triglycerides are metabolised, and part of the fatty acids are incorporated in the cell membranes of the body, a main component of these membranes being phospholipids. However, this incorporation is a slow process and only a minor amount of the added ω -3-fatty acids is incorporated in the membranes, regardless of whether they originally had the form of triglycerides or free fatty acids. Therefore, there is a great need for products which contain ω -3-fatty acids and can be taken up by the body in a more efficient manner.

With the aid of a specific enzyme, phospholipase A2, it has now proved to be feasible to esterify the 2-position of a lysophospholipid by adding a carboxylic acid. Normally, phospholipase A2 hydrolyses the ester bond of the phospholipid in the 2-position, but under the conditions prevalent during the inventive esterification, the enzyme esterifies a lysophospholipid in the 2-position, surprisingly enough. This reaction takes place in a micro-emulsion. Since the phospholipid is surface-active in

would lead to the same type of qualitative changes as for the triglycerides.

Recently, medical interest has focussed on the ω -3-fatty acids which is the generic term for polyunsaturated fatty acids which have 18-22 carbon atoms and whose last double bond, as counted from the carboxyl group, is between the third and the fourth carbon atom as counted from the methyl group end of the fatty acid molecule. A connection has been shown between a high intake of ω -3-fatty acids and a reduced frequency of heart and vascular diseases. An augmented intake of ω -3-fatty acids reduces the cholesterol content of the blood, and ω -3-fatty acids are therefore often prescribed for people with blood counts indicating an increased risk of thrombosis and infarct of the heart. The ω -3-fatty acids are normally available not only in the form of triglycerides from e.g. cod-liver oil, but also in the form of free fatty acids usually extracted from fish oils. In the human body, the triglycerides are metabolised, and part of the fatty acids are incorporated in the cell membranes of the body, a main component of these membranes being phospholipids. However, this incorporation is a slow process and only a minor amount of the added ω -3-fatty acids is incorporated in the membranes, regardless of whether they originally had the form of triglycerides or free fatty acids. Therefore, there is a great need for products which contain ω -3-fatty acids and can be taken up by the body in a more efficient manner.

With the aid of a specific enzyme, phospholipase A2, it has now proved to be feasible to esterify the 2-position of a lysophospholipid by adding a carboxylic acid. Normally, phospholipase A2 hydrolyses the ester bond of the phospholipid in the 2-position, but under the conditions prevalent during the inventive esterification, the enzyme esterifies a lysophospholipid in the 2-position, surprisingly enough. This reaction takes place in a micro-emulsion. Since the phospholipid is surface-active in

group derived from phosphoric acid and a nitrogen base. Phosphatidyl choline is usually a main component. Furthermore, there are varying amounts of several closely-related substances, such as lysophosphatidyl ethanolamine, lyso-

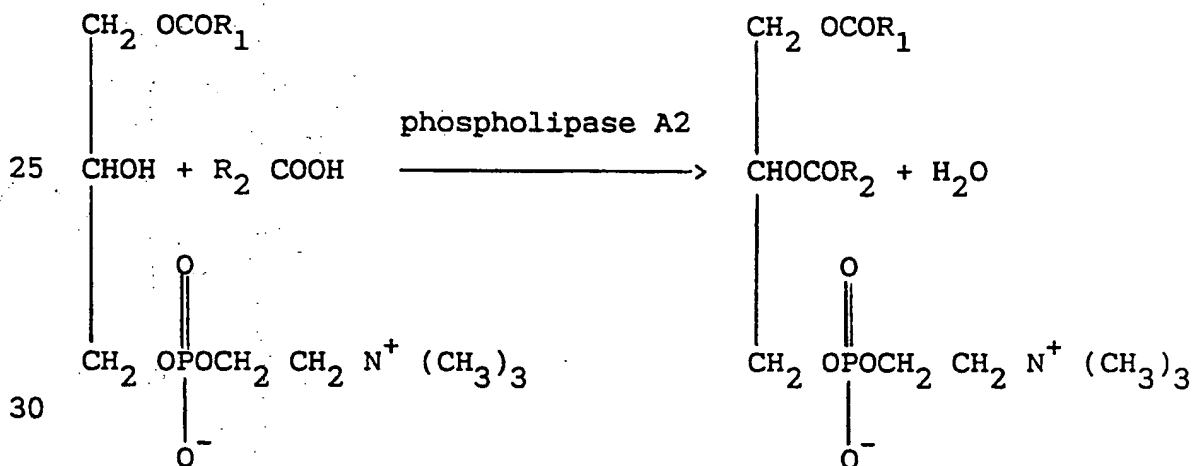
5 phosphatidyl serine, and lysophosphatidyl inositol. Generally, the added ω -3-fatty acid is not pure, but consists of a mixture of different fatty acids, such as EPA and DHA, and further contains a fairly significant amount of fatty acids other than the ω -3-type. Even if pure ω -3-

10 fatty acid were to be used in the inventive reaction, the incorporation in the phospholipid would not be complete, since the esterification is an equilibrium reaction. All in all, the ω -3-fatty acid-containing phospholipid referred to in this context may consist of a large number

15 of different substances. However, a distinctive feature is that a fairly significant proportion of the phospholipid, i.e. at least 10% and usually 15% or more, contains ω -3-fatty acid in the 2-position.

If desired, the inventive reaction may be illustrated

20 by the reaction formula of lysophosphatidyl choline.



wherein R_1 is an acyclic hydrocarbon residue which contains 11-21 carbon atoms and is not being of ω -3-type, and R_2 is

35 a polyunsaturated ω -3-fatty alkyl group with 17-21 carbon atoms.

to form a single process in which phospholipid and carboxylic acid together with an enzyme are added to a micro-emulsion with the higher water content and in which the water content is gradually reduced by stripping under vacuum, or by adding a hydrophilic substance, e.g. zeolite. Even if the water content is not varied, a certain amount of phospholipid containing the desired carboxylic acid residue in the 2-position can be obtained, but the yield is usually poor and the reaction time long.

Preferably, the reaction is made to take place in a protective atmosphere and in the presence of an antioxidant in order to avoid autoxidation of the polyunsaturated fatty acids. Suitable antioxidants include tocopherol, butyl hydroxyanisole, butyl hydroxytoluene, and ascorbic acid. Combinations of at least one lipophilic and at least one hydrophilic antioxidant have at times proved advantageous.

The invention will be illustrated in more detail by the following Examples.

Example 1

The following composition was used:

Component	% by weight
Isooctane	87.3
Sodium dioctyl sulphosuccinate	3.4
Lysophosphatidyl choline	4.0
ω -3-fatty acid	4.0
Aqueous buffer, pH 8.2	1.3

To the above composition which, at 30°C, was a limpid isotropic solution, was added phospholipase A2 in an amount of $2.5 \cdot 10^4$ units/g lysophospholipid. The reaction was allowed to continue at 30°C under N₂, the solution being continuously stirred. After 16 h, the reaction was interrupted. The phospholipid was isolated by chromatography on a silica column, and the fatty acids were set free by hydrolysis and methylated, whereupon the esters were analysed by gas chromatography. The 10-metre silica columns used in the gas chromatography had an inner dia-

To this composition, CaCl_2 was added to a concentration of 10 mM and phospholipase A2 in an amount of $1.5 \cdot 10^4$ units/g phosphatidyl choline. The reaction was allowed to continue for 16 h at 30°C , whereupon the water content was reduced to 1.5% by weight by an addition of zeolite. ω -3-fatty acid in an amount corresponding to 6% by weight of the composition was added. After a further 16 h at 30°C , the reaction mixture was processed as in Example 1. The amount of phospholipid containing ω -3-fatty acid residues was found to be 58% by weight.

Example 5

The following composition was used:

<u>Component</u>	<u>% by weight</u>
Isooctane	87.3
15 Sodium dioctyl sulphosuccinate	3.4
Lysophosphatidyl choline	5.0
Dodecanoic acid	3.0
Aqueous buffer, pH 8.2	1.3

To the above composition which, at 30°C , was a limpid isotropic solution, was added phospholipase A2 in an amount of $2.5 \cdot 10^4$ units/g lysophospholipid. The reaction was allowed to continue at 30°C under N_2 , the composition being continuously stirred. After 16 h, the reaction was interrupted. The phospholipid was isolated by chromatography on a silica column, and the fatty acids were set free by hydrolysis and methylated, whereupon the esters were analysed by gas chromatography. The 10-metre silica columns used in the gas chromatography had an inner diameter of 0.32 mm, Carbowax 1.2 μm serving as a stationary phase. Nitrogen gas under a pressure of 5 psi and a flow rate of 120 ml/min. was used as carrier gas. The column temperature was 275°C . With the aid of the gas chromatogram, the amount of phospholipid containing a dodecyl group was determined to more than 90% by weight. The reaction of lysophospholipid gave a 12% yield of phospholipid.

CLAIMS

1. A method for the preparation of a phospholipid
5 with a carboxylic acid residue in the 2-position, c h a -
r a c t e r i s e d in that a lysophospholipid is ester-
fied with a corresponding carboxylic acid in the presence
of the catalyst phospholipase A2, the esterification
taking place in a microemulsion with a water content of
10 0.1-2% by weight.
2. Method as claimed in claim 1, c h a r a c t e r -
i s e d in that the carboxylic acid is an aliphatic car-
boxylic acid with 10-22 carbon atoms.
3. Method as claimed in claim 1 or 2, c h a r a c -
15 t e r i s e d in that the carboxylic acid is an ω -3-fatty
acid.
4. Method as claimed in any one of claims 1-3,
c h a r a c t e r i s e d in that the surface-active
component of the microemulsion comprises, apart from the
20 lysophospholipid, at least one nonionic or anionic sur-
face-active compound, or mixtures thereof, in an amount
of 0.1-10% by weight of the total composition, and that
the hydrophobic component of the microemulsion constitutes
65-98% by weight of the total composition.
- 25 5. Method as claimed in claim 3 or 4, c h a r a c -
t e r i s e d in that the lysophospholipid and the ω -3-
fatty acid are added in an amount of 1-20% by weight of
the total composition.
6. Method as claimed in any one of claims 3-5,
30 c h a r a c t e r i s e d in that the ω -3-fatty acid
contains 18-22 carbon atoms.
7. Method as claimed in any one of claims 1-6,
c h a r a c t e r i s e d in that the lysophospholipid is
largely made up of lysophosphatidyl choline, lysophosphat-
35 idyl ethanolamine, lysophosphatidyl serine and lysophos-
phatidyl inositol, or mixtures thereof.

INTERNATIONAL SEARCH REPORT

International Application No PCT/SE 90/00481

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) ⁶ According to International Patent Classification (IPC) or to both National Classification and IPC IPC5: C 12 P 7/64, C 11 C 3/08																	
II. FIELDS SEARCHED <div style="text-align: center; border: 1px solid black; padding: 2px;">Minimum Documentation Searched⁷</div> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 25%; border: 1px solid black; padding: 2px;">Classification System</th> <th style="border: 1px solid black; padding: 2px;">Classification Symbols</th> </tr> <tr> <td style="border: 1px solid black; padding: 5px; vertical-align: top;">IPC5</td> <td style="border: 1px solid black; padding: 5px; vertical-align: top;">C 11 C; C 12 N; C 12 P</td> </tr> </table> <div style="text-align: center; border: 1px solid black; padding: 2px;">Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in Fields Searched⁸</div> <p style="padding: 5px;">SE,DK,FI,NO classes as above</p>			Classification System	Classification Symbols	IPC5	C 11 C; C 12 N; C 12 P											
Classification System	Classification Symbols																
IPC5	C 11 C; C 12 N; C 12 P																
III. DOCUMENTS CONSIDERED TO BE RELEVANT⁹ <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 10%; padding: 2px;">Category *</th> <th style="width: 60%; padding: 2px;">Citation of Document,¹¹ with indication, where appropriate, of the relevant passages¹²</th> <th style="width: 30%; padding: 2px;">Relevant to Claim No.¹³</th> </tr> </thead> <tbody> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">X</td> <td style="padding: 5px;">Chemical Abstracts, Vol. 90 (1979), abstract No 50071c, R. W. Evans et al. Chem. Phys. Lipids 1978, 22(3), 207-20 (Eng.) --</td> <td style="text-align: center; vertical-align: top; padding: 5px;">9</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">Y</td> <td style="padding: 5px;">Chemical Abstracts, Vol. 73 (1970), abstract No 84125n, H. P. Franck et al., Z. Naturforsch B 1970, 25(6), 581-6 (Ger.) --</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1-8</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">Y</td> <td style="padding: 5px;">Chemical Abstracts, Vol 69 (1968), abstract No 168k, H. P. Franck et al. Z. Naturforsch. B 1968, 23(4). 43948 (Ger.) --</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1-8</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">Y</td> <td style="padding: 5px;">Chemical Abstracts, Vol. 82 (1975), abstract No 53462b, Ronald L. Misiowski et al., Biochemistry 1974, 13(24), 4921-7 (Eng.) --</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1-8</td> </tr> </tbody> </table>			Category *	Citation of Document, ¹¹ with indication, where appropriate, of the relevant passages ¹²	Relevant to Claim No. ¹³	X	Chemical Abstracts, Vol. 90 (1979), abstract No 50071c, R. W. Evans et al. Chem. Phys. Lipids 1978, 22(3), 207-20 (Eng.) --	9	Y	Chemical Abstracts, Vol. 73 (1970), abstract No 84125n, H. P. Franck et al., Z. Naturforsch B 1970, 25(6), 581-6 (Ger.) --	1-8	Y	Chemical Abstracts, Vol 69 (1968), abstract No 168k, H. P. Franck et al. Z. Naturforsch. B 1968, 23(4). 43948 (Ger.) --	1-8	Y	Chemical Abstracts, Vol. 82 (1975), abstract No 53462b, Ronald L. Misiowski et al., Biochemistry 1974, 13(24), 4921-7 (Eng.) --	1-8
Category *	Citation of Document, ¹¹ with indication, where appropriate, of the relevant passages ¹²	Relevant to Claim No. ¹³															
X	Chemical Abstracts, Vol. 90 (1979), abstract No 50071c, R. W. Evans et al. Chem. Phys. Lipids 1978, 22(3), 207-20 (Eng.) --	9															
Y	Chemical Abstracts, Vol. 73 (1970), abstract No 84125n, H. P. Franck et al., Z. Naturforsch B 1970, 25(6), 581-6 (Ger.) --	1-8															
Y	Chemical Abstracts, Vol 69 (1968), abstract No 168k, H. P. Franck et al. Z. Naturforsch. B 1968, 23(4). 43948 (Ger.) --	1-8															
Y	Chemical Abstracts, Vol. 82 (1975), abstract No 53462b, Ronald L. Misiowski et al., Biochemistry 1974, 13(24), 4921-7 (Eng.) --	1-8															
<div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <p>* Special categories of cited documents:¹⁰</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 48%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance, the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance, the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&" document member of the same patent family</p> </div> </div>																	
IV. CERTIFICATION <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; border: 1px solid black; padding: 5px;"> Date of the Actual Completion of the International Search 12th October 1990 </td> <td style="width: 50%; border: 1px solid black; padding: 5px;"> Date of Mailing of this International Search Report 1990 -10- 15 </td> </tr> <tr> <td style="border: 1px solid black; padding: 5px;"> International Searching Authority <div style="text-align: center; padding-top: 10px;"> SWEDISH PATENT OFFICE </div> </td> <td style="border: 1px solid black; padding: 5px;"> Signature of Authorized Officer <div style="text-align: center; padding-top: 10px;"> Yvonne Siösteen </div> </td> </tr> </table>			Date of the Actual Completion of the International Search 12th October 1990	Date of Mailing of this International Search Report 1990 -10- 15	International Searching Authority <div style="text-align: center; padding-top: 10px;"> SWEDISH PATENT OFFICE </div>	Signature of Authorized Officer <div style="text-align: center; padding-top: 10px;"> Yvonne Siösteen </div>											
Date of the Actual Completion of the International Search 12th October 1990	Date of Mailing of this International Search Report 1990 -10- 15																
International Searching Authority <div style="text-align: center; padding-top: 10px;"> SWEDISH PATENT OFFICE </div>	Signature of Authorized Officer <div style="text-align: center; padding-top: 10px;"> Yvonne Siösteen </div>																

ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO. PCT/SE 90/00481

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the Swedish Patent Office EDP file on 90-08-28. The Swedish Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP-A2- 0265699	88-05-04	JP-A- 63087988	88-04-19
SE-B- 452166	87-11-16	EP-A-B- 0237092	87-09-16
		SE-A- 8601222	87-09-11
		US-A- 4839287	89-06-13
FR-A1- 2599382	87-12-04	NONE	